

PATENT

**IN THE UNITED STATES PATENT AND  
TRADEMARK OFFICE**

In re application of:	Wilmes et al.	Docket No.:	40655.3700
Serial No.:	To Be Assigned		
Filed:	February 14, 2002	Group Art Unit:	To Be Assigned
Title	TRANSACTIONAL TAX SETTLEMENT IN PERSONAL COMMUNICATION DEVICES	Examiner:	To Be Assigned

PATENT

**DECLARATION FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am an original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

TRANSACTIONAL TAX SETTLEMENT IN PERSONAL COMMUNICATION DEVICES

the specification of which:

☒ is attached hereto.  
☐ was filed on \_\_\_\_\_ as Application Serial No. \_\_\_\_\_  
 \_\_\_\_\_ and was amended on \_\_\_\_\_ if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in 37 C.F.R. §1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

None			Priority Not Claimed
Number	Country	Filing Date	<input type="checkbox"/>
_____	_____	_____	<input type="checkbox"/>

Attorney Docket No.:  
40655.3700

Number	Country	Filing Date
--------	---------	-------------

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below.

<u>60/269,108</u>	<u>February 15, 2001</u>
Application Number	Filing Date

_____	_____
Application Number	Filing Date

I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s), or §365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose information material to patentability as defined in 37 C.F.R. §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

None		
_____	_____	_____
Application Serial No.	Filing Date	Status — Patent, Pending, Abandoned
_____	_____	_____
Application Serial No.	Filing date	Status — Patent, Pending, Abandoned

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first inventor Robert Wilmes

First inventor's signature Robert M Wilmes Date FEB 15, 2002

Residence 17619 North 56th Place, Scottsdale, Arizona 85254

Citizenship U.S.

Post Office Address same as residence

Full name of second inventor Fred Bishop

Second inventor's signature Fred A Bishop Date 2/15/2002

Residence 5511 W. Aster Drive, Glendale, Arizona 85304

Citizenship U.S.

Post Office Address same as residence

**Snell & Wilmer L.L.P.**

One Arizona Center

400 East Van Buren

Phoenix, Arizona 85004-2202

Tel: (602) 382-6275

Fax: (602) 382-6070

## ASSIGNMENT

PATENT

WHEREAS, the undersigned inventor(s) (hereinafter singly and collectively, "ASSIGNOR") of the addresses indicated below, have invented a METHOD AND APPARATUS TO CALCULATE AND COLLECT TRANSACTION RELATED TAXES BASED ON THE LOCATION OF A MOBILE DEVICE for which a provisional application for Letters Patent of the United States was filed on February 15, 2001 and assigned U.S. Serial No. 60/269,108; (OR has been executed on even date herewith);

AND WHEREAS, AMERICAN EXPRESS TRAVEL RELATED SERVICES COMPANY, INC. (hereinafter "ASSIGNEE"), a corporation organized and existing under and by virtue of the laws of the State of New York having its principal place of business and mailing address at General Counsel's Office, American Express Tower, World Financial Center, New York, New York 10285-4900, is desirous of memorializing its interest therein;

NOW, THEREFORE, the undersigned ASSIGNOR hereby confirms that ASSIGNOR is a full time salaried employee of ASSIGNEE and that ASSIGNOR developed the subject matter of the invention on ASSIGNEE's time and at the expense of ASSIGNEE and within the scope of ASSIGNOR's duties as an employee of ASSIGNEE and thereby ASSIGNOR recognizes that the ASSIGNEE owns the invention as described in the aforesaid application. Nonetheless to comport with Patent Office procedures, ASSIGNOR memorializes that relationship in the form of this assignment and;

THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, ASSIGNOR by these presents does sell, assign and transfer unto ASSIGNEE, its successors, assigns and legal representatives, the full and exclusive right to the invention, as described in the aforesaid application, including patent, copyright and any other intellectual property rights, any provisional, non-provisional, continuation, continuation in part, divisional, reissue, reexamination, foreign, PCT or other patent application or like document, , or any other application which claims priority to said application, in the United States and all foreign countries, together with the right of priority under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States adheres, and hereby authorizes and requests the Commissioner of Patents to issue said Letters Patent to ASSIGNEE, for the sole use and benefit of ASSIGNEE, its successors, assigns and legal representatives,

AND HEREBY AGREES to transfer a like interest to ASSIGNEE, its successors, assigns and legal representatives, without further remuneration, in and to any improvements and applications for patents based thereon, growing out of or relating to the invention; and to provide all reasonable assistance and execute any papers, if accurate, desired by ASSIGNEE, its successors, assigns and legal representatives, to

Prov. Appl. No.: 60/269, . 3

perfect ASSIGNEE's full protection and title in and to the invention hereby transferred and to file any of the aforementioned applications.

**EXECUTED** as of the date(s) written below by ASSIGNOR:

INVENTOR'S NAME	ADDRESS	SIGNATURE and DATE
Fred Bishop	2811 West Dynamite Blvd. Phoenix, AZ 85085	<u>Fred Bishop 5/10/2001</u>
Robert Wilmes	17619 North 56th Place Scottsdale, AZ 85254	_____

STATE OF Arizona )  
County of Maricopa ) ss.

On this 10<sup>th</sup> day of May, 2001, before me, a notary public in and for said county, appeared Fred Bishop who is personally known to me to be the same person whose name is subscribed to the foregoing instrument, and he/she acknowledged that he/she signed, sealed and delivered the said instrument as a free and voluntary act for the uses and purposes therein set forth.



Jennifer M. Keysow  
Notary Public

SNELL & WILMER L.L.P.  
1200 Seventeenth Street  
Suite 1900  
Tabor Center  
Denver, Colorado 80202

EXECUTED as of the date(s) written below by ASSIGNOR:

INVENTOR'S NAME	ADDRESS	SIGNATURE and DATE
-----------------	---------	--------------------

Fred Bishop	2811 West Dynamite Blvd. Phoenix, AZ 85085	
-------------	---	--

Robert Wilmes	17619 North 56th Place Scottsdale, AZ 85254	
---------------	--	--

Robert M. Wilmes

MAY 9, 2001

STATE OF ARIZONA )  
County of Maricopa ) ss.

On this 9th day of MAY, 2001, before me, a notary public in and for said county, appeared Robert M. Wilmes who is personally known to me to be the same person whose name is subscribed to the foregoing instrument, and he/she acknowledged that he/she signed, sealed and delivered the said instrument as a free and voluntary act for the uses and purposes therein set forth.



January 15th, 2005

Jennifer M. Keysow  
Notary Public

SNELL & WILMER L.L.P.  
1200 Seventeenth Street  
Suite 1900  
Tabor Center  
Denver, Colorado 80202